

**Tony Kershaw**  
Director of Law and Assurance

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4 March 2022

## **Regulation, Audit and Accounts Committee**

A meeting of the Committee will be held at **10.30 am on Monday, 14 March 2022** at **County Hall, Chichester, PO19 1RQ**.

**Note:** In response to the continuing public health measures, there will be limited public access to the meeting. Admission is by ticket only, bookable in advance via: [democratic.services@westsussex.gov.uk](mailto:democratic.services@westsussex.gov.uk)

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### **Agenda**

1. **Declarations of Interest**

Members and officers must declare any pecuniary or personal interest in any business on the agenda. They should also make declarations at any stage such as an interest becomes apparent during the meeting. Consideration should be given to leaving the meeting if the nature of the interest warrants it. If in doubt please contact Democratic Services before the meeting.

2. **Minutes of the last meeting of the Committee** (Pages 5 - 10)

The Committee is asked to agree the minutes of the meeting held on 17 January 2022 (cream paper).

3. **Urgent Matters**

Items not on the agenda which the Chairman of the meeting is of the opinion should be considered as a matter of urgency by reason of special circumstances.

4. **External Audit**

The Committee is asked to consider the 2021/22 Outline Audit Plans for West Sussex County Council and the West Sussex Pension Fund from the External Auditor Ernst & Young (EY).

- (a) **West Sussex County Council 2021/22 Outline Audit Plan** (Pages 11 - 28)

(b) **West Sussex Pension Fund 2021/22 Outline Audit Plan** (Pages 29 - 62)

5. **Financial Statements 2021/22 - Plans and Progress** (Pages 63 - 104)

Report by the Director of Finance and Support Services.

The Committee is asked to note the project plans for the County Council and Pension Fund accounts and consider progress to date. The Committee is also asked to consider the draft accounting policies for both the County Council and Pension Fund accounts for 2021/22 and approve them for application in preparing this year's accounts.

6. **Internal Audit Progress Report** (Pages 105 - 132)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to note the Internal Audit Progress Report.

7. **Internal Audit Plan 2022/23** (Pages 133 - 152)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the Internal Audit Plan 2022-23 (Q1) and the Counter Fraud Plan 2022-23.

8. **Internal Audit Charter 2022/23** (Pages 153 - 164)

Report by the Director of Finance and Support Services, and the Head of Southern Internal Audit Partnership.

The Committee is asked to approve the Internal Audit Charter 2022-23.

9. **Quarterly Review of Corporate Risk Management** (Pages 165 - 176)

Report by the Director of Finance and Support Services.

The Committee is asked to review the information detailed in the report and provide comment as necessary.

10. **Draft Annual Governance Statement 2021/22** (Pages 177 - 220)

Report by the Director of Law and Assurance.

The Committee is asked to note the Action Plan update and flowchart. The Committee is also asked to support the draft Statement for 2021/22 subject to any comments from the Committee.

11. **Work Programme 2022/23** (Pages 221 - 224)

Programme by the Director of Law and Assurance.

The Committee is asked to agree the outline work programme for 2022/23 and agree any further priority items for addition to the programme.

12. **Date of Next Meeting**

The next meeting of the Committee will be held at 10.30 am on 18 July 2022 at County Hall, Chichester.

**To all members of the Regulation, Audit and Accounts Committee**